



3624  
TFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 05-1124)

In the Application of: )  
 )  
Douglas R. Duquette ) Art Unit: 3624  
 )  
Serial No.: 10/517,960 )  
 )  
Filed: December 14, 2004 ) Examiner: Not Assigned  
 )  
Title: System and Method for Analyzing and )  
Displaying Security Trading Transactions )

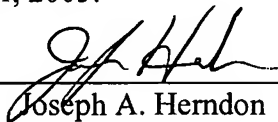
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

In regard to the above-identified patent application:

1. We are transmitting herewith the attached:
  - A. Power of Attorney by Assignee of Entire Interest
  - B. Copy of Assignment executed by Douglas R. Duquette
  - C. Postcard
2. With respect to additional fees:  
☒ A. No additional fee is required.  
☐ B. Attached is a check in the amount of \$
3. Please charge any additional fees or credit overpayments to the Deposit Account No.13-2490. A duplicate copy of this transmittal letter is enclosed.
4. ☒ CERTIFICATE UNDER 37 CFR 1.8 (FIRST CLASS MAIL): The undersigned hereby certifies that this Transmittal Letter and the document(s) as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 29th day of December, 2005.

Dated: December 29, 2005

By:   
Joseph A. Herndon  
Reg. No. 50,469



PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

Douglas R. Duquette

Serial No. 10/517,960

Filed: 12/14/2004

Title: System and Method For  
Analyzing and Displaying  
Security Trade Transactions

Examiner: To Be Assigned

Group Art Unit: 3624

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST**  
(REVOCATION OF PRIOR POWERS)

As assignee of record of the entire interest of the above identified

X Application  
Patent

**REVOCATION OF PRIOR POWERS OF ATTORNEY**

All powers of attorney previously given are hereby revoked and

**NEW POWER OF ATTORNEY**

The undersigned hereby appoints all of the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and directs that all correspondence be addressed to that Customer Number:

Customer Number: **039310**  
Principal Attorney or Agent: Matthew J. Sampson  
Telephone Number: 312-913-0001

**Assignee of Entire Interest:**

Name: Trading Technologies International, Inc.

Address: 222 South Riverside Plaza, Suite 1100, Chicago, IL 60606

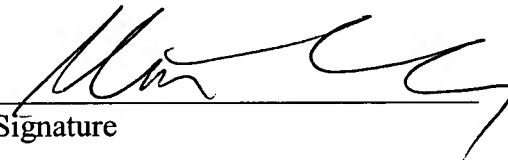
**EVIDENCE AND CERTIFICATION OF CHAIN OF TITLE**

1. From: Douglas R. Duquette To: Trading Technologies, Inc.  
Recorded herewith.

**ASSIGNEE CERTIFICATION**

In accordance with 37 C.F.R. § 3.73, the assignee hereby certifies that the evidentiary documents with respect to its ownership have been reviewed and that, to the best of assignee's knowledge and belief, title is in the assignee seeking to take this action.

Date: 12-22-05

  
Signature

Name: Steven F. Borsand

Title: Vice President,  
Intellectual Property of Trading  
Technologies International, Inc.

## ASSIGNMENT

Case No.:  
Inventor: Douglas R. Duquette

Serial No.: 10/517,960

Date of Execution of Application:

Filing Date: 12/14/2004

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to:

**Trading Technologies International, Inc., a corporation of the State of Delaware**

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

### **SYSTEM AND METHOD FOR ANALYZING AND DISPLAYING SECURITY TRADE TRANSACTIONS**

and identified as:

**Case No.**

in the offices of McDONNELL BOEHNEN HULBERT & BERGHOFF and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.


The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be

held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 21<sup>st</sup> day of December, 2005.

  
Douglas R. Duquette

State of Illinois

County of Cook

The foregoing instrument was acknowledged before me this 21<sup>st</sup> day of  
December, 2005 by Douglas R. Duquette

  
NOTARY PUBLIC

